Fried, Frank, Harris, Shriver & Jacobson LLP

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FAX COVER SHEET

FRIED FRANK

Date:

December 27, 2005

From:

Stephen S. Rabinowitz

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Number of Pages (including cover sheet): 6

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Office of Initial Patent

U.S Patent and

(571) 273-8300

Examination

Trademark Office

Comments: Application No. 10/603,501 by FRANANO

- (1) Request for updated filing receipt (2 pages)
  - (2) Marked up copy of previously issued filing receipt (1 page)
  - (3) Copy of § 17 of Transmittal Letter dated September 24, 2003 (2 pages)

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# RECEIVED **CENTRAL FAX CENTER**

DEC 2 9 2005

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: FRANANO

Confirmation No.: 3974

Serial No.:

10/603,501

Art Unit: 1651

Filed:

June 24, 2003

Examiner: Afremova, Vera

For:

METHODS FOR ENLARGING Attorney Docket No.: 31110-0003

THE DIAMETER OF AN ARTERY OR VEIN IN A

**HUMAN SUBJECT** 

## REQUEST FOR UPDATED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant respectfully requests an updated filing receipt reflecting the amended title of this application, the mailing address of Applicant's current representative, the new docket number, and the domestic priority claimed by Applicant, and to correct a typographical error in the inventor's name.

The title of the invention was amended in the Reply filed on September 30, 2005 from (old title): SYSTEMS AND METHODS FOR OPENING OBSTRUCTED BIOLOGICAL CONDUITS to (amended title): METHODS FOR ENLARGING THE DIAMETER OF AN ARTERY OR VEIN IN A HUMAN SUBJECT.

### CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8(2)

I hereby certify that this paper is being filed with the United States Patent and Trademark Office by facsimile transmission on December 29, 2005 to facsimile telephone number (571) 273-8300.

Stephen S. Rabinowitz (Reg. No. 40,286)

The mailing address of Applicant's representative, following the Change of Correspondence Address filed on December 27, 2005, is the address associated with Customer Number 42477, namely:

Fried, Frank, Harris, Shriver & Jacobson LLP One New York Plaza New York, New York 10014.

The new docket number is: 31110-0003.

The inventor's name is "F. Nicholas Franano" but was mistyped by Applicant's representative in the transmittal sheet as "Nicholas F. Franano" and appears on the previously issued filing receipt as "Nicholas Franano".

This application claims the benefit of Nonprovisional Application No. 09/669,051, filed September 24, 2000 and of Provisional Application No. 60/155,938, filed September 24, 1999 by virtue of an amendment to the specification, dated September 24, 2003, which specifically referenced those earlier-filed applications. See § 17B of the Transmittal Letter dated September 24, 2003. (For the convenience of the Office, a copy of § 17 of the Transmittal Letter is attached hereto.)

A copy of the previously issued filing receipt is attached with the changes noted thereon.

Respectfully submitted,

Date: December 29, 2005

Stephen S. Rabinowitz

40.286 (Reg. No.)

FRIED, FRANK, HARRIS, SHRIVER

& JACOBSON LLP One New York Plaza

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212 859 4000 TO #531110000391571 P.04 DEC 29 2005 18:02 FR FFHS&J LLP 12/19/2005 5:02 PM PAGE 2/003 Fax Server TO: Stephen Rabinowitz COMPANY:



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APPL NO.	FILING OR 371 (c) DATE	ART UHIT	FIL FEE REC'D	ATTY, DOCKET NO	DRAWINGS	TOT GLMS	IND CLMS	
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		•		31110-0003				

**CONFIRMATION NO. 3974** 

-EDWARDS-& ANGELL, LLP-P-O: BOX 9169 BOSTON, MA 02209

**FILING RECEIPT** \*OC000000011997634\*

FRIED, FRANK, HARRIS, SHRIVER & JACOBSON LLP One New York Plaza New 701k, NY 10004

Date Mailed 03/01/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data prosented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

Applicant(s)

Nicholas Franano, Kansas City, MO; F. Nicholas Francia

Domestic Priority data as claimed by applicant

Continuation of 09/669.051 09/24/2000. This apply claims benefit of 60/155, 938 09/24/1999

Foreign Applications

If Required, Foreign Filing License Granted: 03/01/2004

Projected Publication Date: 12/30/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Systems and methods for opening obstructed biological conduits

Methods for enlarging the diameter of an atting or vein in a human suggest.

Preliminary Class

424

FILING DATE

### Practitioner's Docket No. 55225-C (71699) PATENT

# ADDED PAGES FOR APPLICATION TRANSMITTAL WHERE HENEFIT OF PRIOR U.S. APPLICATION(8) CLAIMED

NOTE: See 37 CFR 1.78.

#### 17. Relate Back

WARNING: If an application claims the benefit of the filing date of an earlier filed application under 35 U.S.C. 120, 121 or 365(c), the 20-year term of that application will be based upon the filing date of the earliest U.S. application that the application makes reference to under 35 U.S.C. 120, 121 or 365(c), (35 U.S.C. 154(a)(2) does not take into account, for the determination of the patent term, any application on which priority is claimed under 35 U.S.C. 119, 365(a) or 365(b).) For a c-i-p application, applicant term, any application whether any claim in the patent will issue is supported by an earlier application and, if not, the applicant should consider canceling the reference to the earlier filed application. The term of a patent is not based on a claim-by-claim approach. See Notice of April 14, 1995, 60 Fed. Reg. 20,195, at 20,205.

(complete the following, if applicable)

[X] Amend the specification by inserting, before the first line, the following sentence:

### A. 35 U.S.C. 119(e)

APPLICATION NO(S).:

[X] continuation

NOTE: "Any nonprovisional application claiming the benefit of one or more prior filed copending provisional applications must contain or be amended to contain in the first sentence of the specification following the title a reference to each such prior provisional application, identifying it as a provisional application, and including the provisional application number (consisting of series code and serial number)." 37 C.F.R. § 1.78(a)(4).

[ ] "This application claims the benefit of U.S. Provisional Application(s) No(s).:

		19
<b>B.</b> 35	U.S.C, 120, 121 and 365(c)	,
NOTE:	"Except for a continued prosecution application filed under benefit of one or more prior filed capending nonprovisional a United States of America must contain or be amended to contain a reference to each such prior application, identifying it by appropriately or international application number and international application number and international applications Cross-references to other related application C.F.R. § 1.78(a)(2).	pplications or international applications designating the in the first sentence of the specification following the title should number (consisting of the series code and serial and filling date and indicating the relationship of the new orders.
(X)	This application is a	

(Added Pages for Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed-page 1 of 5)

	[] continuation-in-part						
	[ ] divisional						
of	copending application(s)		i.				
[]	application number 09	/669,051	! 				
[]	International Application _	filed on	and which de	signated the U.S."			
NOTE:	The proper reference to a prior fit the filling date of the PCT applicat	lled PCT application t loss that designated the	hat entered the U.S. n t U.S.	ational phase is the U.S. serial number and			
NOTE:	(1) Where the application being to a continuation-in-part or (2) if it is			stional Application, then the filing can be as Aling can be as a continuation.			
NOTE:	The deadline for entering the national phase in the U.S. for an international application was clarified in the Notice of April 28, 1987 (1079 O.G. 32 to 46) as follows:						
	priority date if the United States hilled prior to the expiration of the Demand for International Prelimit expiration of the 19th mouth fractionmunicated to the Patent and international application has not period respectively, the Internation priority date respectively. These p	as been designated an 19th month from the nery Examination whi ym the priority date, 1 Trademark Office w been communicated mai application become criods have been place	d no Demand for Inter priority date and unti ch elected the United provided that a copy ithin the 20 or 30 m to the Patent and Tr test abandoned as to t ed in the rules as para	to be pending will the IInd month from the mational Preliminary Examination has been if the 11nd month from the priority date if a States of America has been filed prior to the of the international application has been onth period respectively. If a copy of the ademark Office within the 20 or 30 month he United States 10 or 30 months from the graph (h) of § 1.494 and paragraph (i) of § filed anytime during the pendency of the			
ſΧ	"The nonprovisional applica	ition designated at	ove, namely appli	ication			
•	09/669.051 , fi Provisional Application(s) N	led <u>Scotemb</u>		, claims the benefit of U.S.			
APPLI	CATION NO(S).:	. •		FILING DATE			
<u>60 / 15:</u>	5.938	•	_	September 24, 1999 "			
	<u>,</u>	- <del>12</del> 1		, , , , , , , , , , , , , , , , , , ,			
[]	Where more than one refere	ace is made above	please combine a	Il references into one sentence.			

(Added Pages for Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed—page 2 of 5)